

## Severity and Outcomes in Association with Known Exposures and Susceptibilities among Afro-Caribbean Patients with Hospital-acquired Acute Kidney Injury

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### ABSTRACT

**Objective:** To investigate the severity and outcomes associated with known exposures and susceptibilities in Afro-Caribbean patients with hospital-acquired acute kidney injury (HA-AKI).

**Methods:** This was a single centre hospital-based cohort study. Acute kidney injury was diagnosed and staged by the criteria of Kidney Disease: Improving Global Outcomes.

**Results:** Among 107 Afro-Caribbean patients who were newly diagnosed with HA-AKI within a one-year period, hypertension (51.4%) and diabetes mellitus (34.6%) were the most common co-existing susceptibilities. None of the selected susceptibilities led to a higher demand for renal replacement therapy (RRT) or a higher risk of 90-day mortality, except in a small subgroup with underlying malignancy in which 12 out of 28 (42.9%) demised at  $\leq 90$  days after AKI (odds ratio (OR): 2.36; 95% confidence interval (CI): 1.05, 5.87;  $p = 0.05$ ). The risk for the requirement for dialysis was nine-fold higher if the patient had oliguria/anuria (OR: 9.06; 95% CI: 3.06, 29.04;  $p \leq 0.001$ ). Oliguria/anuria was also found to be a major risk factor for 90-day mortality (OR: 4.46; 95% CI: 1.83, 10.84;  $p < 0.001$ ). Sepsis was the most frequent exposure (66%) with a high chronic kidney disease conversion rate of 25.7% (OR: 1.296; 95% CI: 0.70, 2.38). Patients with HA-AKI and sepsis had a three-fold higher mortality among hospitalized patients with AKI (OR: 2.87; 95% CI: 1.05, 7.87;  $p = 0.03$ ). Both complicated non-cardiac major surgeries and cardiac surgeries were significantly associated with requirement for RRT (57.1% versus 56.3% and OR: 5.01; 95% CI: 1.04, 24.1;  $p = 0.02$  versus OR: 6.02; 95% CI: 1.95, 18.57;  $p \leq 0.001$ , respectively). The requirement for RRT in patients with HA-AKI was also significantly associated with admission to the intensive care unit (ICU) (42.1%; OR: 4.6; 95% CI: 1.54, 13.77;  $p = 0.004$ ), systemic hypotension (OR: 5.86; 95% CI: 2.07, 16.62;  $p = 0.001$ ) and haemorrhagic shock (OR: 5.78; 95% CI: 1.63, 20.51;  $p = 0.003$ ). The former two groups carried a significantly higher 90-day mortality rate (OR: 6.22; 95% CI: 2.15, 17.99;  $p \leq 0.001$  versus OR: 5.54; 95% CI: 2.14, 14.33;  $p \leq 0.001$ , respectively).

**Conclusion:** We observed that certain exposures (such as sepsis, oliguria, systemic hypotension, haemorrhagic shock, ICU admission and complicated major surgeries) had a significant influence on severity and adverse renal outcomes and this was independent of susceptibilities.

**Keywords:** Hospital-acquired acute kidney injury, ICU admission, oliguria, sepsis, shock

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## Severidad y resultados clínicos asociados con exposiciones y susceptibilidades conocidas entre pacientes afrocaribeños con lesión renal aguda adquirida en el hospital

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### RESUMEN

**Objetivo:** Investigar la severidad y los resultados clínicos asociados con exposiciones y susceptibilidades conocidas en pacientes afrocaribeños con lesión renal aguda adquirida en el hospital (LRA-AH).

**Métodos:** Se trató de un estudio de cohorte basado en un solo centro hospitalario. La lesión renal aguda fue diagnosticada y estadificada según los criterios de la enfermedad renal: mejorar los resultados globales.

**Resultados:** Entre los 107 pacientes afrocaribeños que fueron diagnosticados recientemente con LRA-AH en el período de un año, la hipertensión (51.4%) y la diabetes mellitus (34.6%) fueron las susceptibilidades coexistentes más comunes. Ninguna de las susceptibilidades seleccionadas condujo a una mayor demanda de terapia de reemplazo renal (TRR) o a un mayor riesgo de mortalidad de 90 días, excepto en un pequeño subgrupo con malignidad subyacente en el que 12 de 28 (42.9%) fallecen a  $\leq 90$  días después de LRA (odds ratio (OR): 2.36; 95% intervalo de confianza (CI): 1.05, 5.87;  $p = 0.05$ ). El riesgo de la necesidad de diálisis fue nueve veces mayor si el paciente tenía oliguria/anuria (OR: 9.06; 95% IC: 3.06, 29.04;  $p \leq 0.001$ ). También se descubrió que la oliguria/anuria era un factor de riesgo importante para la mortalidad en 90 días (OR: 4.46; 95% IC: 1.83, 10.84;  $p < 0.001$ ). Sepsis fue la exposición más frecuente (66%) con una tasa de conversión de la enfermedad renal crónica alta de 25.7% (OR: 1.296; 95% IC: 0.70, 2.38). Los pacientes con LRA-AH y sepsis tuvieron una mortalidad tres veces mayor entre los pacientes hospitalizados con LRA (OR: 2.87; 95% IC: 1.05, 7.87;  $p = 0.03$ ). Tanto las cirugías principales no cardíacas complicadas como las cirugías cardíacas se asociaron significativamente con la necesidad de TRR (57.1% versus 56.3% y OR: 5.01; 95% IC: 1.04, 24.1;  $p = 0.02$  versus OR: 6.02; 95% IC: 1.95, 18.57;  $p \leq 0.001$ , respectivamente). La necesidad de TRR en pacientes con LRA-AH también se asoció significativamente con la admisión a la unidad de cuidados intensivos (UCI) (42.1%; OR: 4.6; 95% IC: 1.54, 13.77;  $p = 0.004$ ), hipotensión sistémica (OR: 5.86; 95% IC: 2.07, 16.62;  $p = 0.001$ ) y choque hemorrágico (OR: 5.78; 95% IC: 1.63, 20.51;  $p = 0.003$ ). Los dos grupos anteriores tuvieron una tasa de mortalidad de 90 días significativamente mayor (OR: 6.22; 95% IC: 2.15, 17.99;  $p \leq 0.001$  versus OR: 5.54; 95% IC: 2.14, 14.33;  $p \leq 0.001$ , respectivamente).

**Conclusión:** Observamos que ciertas exposiciones (tales como sepsis, oliguria, hipotensión sistémica, shock hemorrágico, admisión en la UCI, y cirugías principales complicadas) tuvieron una influencia significativa en la severidad y los resultados clínicos renales adversos, con independencia de las susceptibilidades.

**Palabras clave:** Lesión renal aguda adquirida en el hospital, admisión en la UCI, oliguria, sepsis, shock

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### INTRODUCTION

Among the 1.7 million estimated global deaths from acute kidney injury (AKI) per year, 82% (1.4 million) of deaths occur in the low- /middle-income countries

(1). The risk factors associated with AKI, its severity and outcomes vary with different geographic regions. The overall incidence of AKI among the hospitalized patients was estimated to be between 5% and 7%

of the total admission (2). A study in Spain concluded that the most common cause of hospital-acquired acute kidney injury (HA-AKI) was acute tubular necrosis (ATN) (45%), followed by pre-renal (21%), post-renal (10%), renal vascular disorder (3%), glomerulonephritis (3%) and acute interstitial nephritis (2%). That group also demonstrated that 27% of HA-AKI occurred in the intensive care unit (ICU) (3). Another HA-AKI study from France, based on ICU cases, reported that 78% of AKI was due to ATN, 17% was pre-renal and only 5% was post-renal (4). There is an increasing need to recognize and understand modifiable risk factors as a key to prevent AKI, with many articles focussed on certain modifiable risk factors which included dehydration, hypovolaemia, nephrotoxic medications or contrast agents, surgery-related issues, sepsis and the cardiorenal syndrome (5–7). The recognized predictors of mortality were stated as oliguria, sepsis, bleeding, length of ICU stay, requirement for ventilator, and multi-organ failure. In the HA-AKI group, the mortality was higher among elderly patients (8). Koulouridis *et al* reported that the chance of hospital readmission was higher among the HA-AKI survivors than other discharged patients (9). Alexandra *et al* highlighted the effect of old age on renal outcomes. Their findings concluded that the risk of occurrence of AKI was high among the hospitalized elderly patients and it also led to a higher mortality and the development or worsening of chronic kidney disease (CKD) (10). To find out the influence of selected exposures and susceptibilities on severity and adverse renal outcomes such as the rate of CKD conversion, requirement for renal replacement therapy (RRT) and 90-day mortality, we conducted this institution-based study among the hospitalized patients, who were all Afro-Caribbean.

### Defining exposures and susceptibilities for acute kidney injury

The guidelines of Kidney Disease: Improving Global Outcomes (KDIGO) suggested stratification of the risk of AKI according to its susceptibilities and exposures. Individual susceptibility to developing AKI after exposure to a similar insult is quite different from person to person. Based on multiple studies, the KDIGO group set exposures as sepsis, critical illness, circulatory shock, burns, trauma, cardiac surgery (especially with cardiopulmonary bypass), major non-cardiac surgery, nephrotoxic drugs, radiocontrast agents, and poisonous plants, but AKI was found more in the susceptible group that includes patients with dehydration or volume

depletion, advanced age, female gender, Black race, CKD, chronic diseases (heart, lung, liver), diabetes mellitus, cancer, and anaemia (11) (Table 1).

### Defining and staging acute kidney disease

In 2003, the Risk, Injury, Fail, Loss and End stage (RIFLE) criteria graded AKI based on changes in serum creatinine (SCr) or urine output. The RIFLE criteria were widely used to conduct many studies on AKI (12–17).

However, further studies from 2004 onwards demonstrated that a change in SCr of as little as 0.3 mg/dL (26.5  $\mu$ mol/L) was associated with a significant rise in mortality among hospitalized patients (18). Lassnigg *et al* found that patients undergoing cardiac surgery who sustained postoperative absolute small SCr increases over the first 48 hours (defined by an increase of up to 0.5 mg/dL) had an increased 30-day mortality risk (19). Subsequently, in 2007, the new definition was set by the Acute Kidney Network group (AKIN) which defined AKI as an abrupt (within 48 hours) reduction in kidney function with rise in absolute SCr, a percentage increase in SCr level and a reduction in urine output (20). The importance of nadir to peak SCr was further highlighted by Broce *et al* in 2011 when they found that a SCr increase of  $\geq 0.2$  mg/dL,  $\geq 0.3$  mg/dL and  $\geq 0.5$  mg/dL among patients with a baseline estimated glomerular filtration rate of  $\geq 60$ , 30–59 and  $< 30$  ml/minute/1.73 m<sup>2</sup>, respectively, was independently associated with in-hospital mortality (21).

In 2012, in the KDIGO guidelines, AKI was defined by an increase in SCr by 0.3 mg/dL (26.5  $\mu$ mol/L) within 48 hours; or an increase in SCr to 1.5 times baseline, which is known or presumed to have occurred within the

Table 1: Exposures and susceptibilities for non-specific acute kidney injury

Exposures	Susceptibilities
Sepsis	Dehydration or volume depletion
Critical illness	Advanced age
Circulatory shock	Female gender
Burns	Black race
Trauma	Chronic kidney disease
Cardiac surgery	Chronic diseases (heart, lung, liver)
Major non-cardiac surgery	Diabetes mellitus
Nephrotoxic drugs	Cancer
Radiocontrast agents	Anaemia
Poisonous plants and animals	
Cardiopulmonary bypass	
Being hospitalized, especially for a serious condition that requires intensive care	
Peripheral artery disease	

prior seven days; or a urine volume of  $< 0.5$  ml/kg/hour for six hours (11).

## SUBJECTS AND METHODS

A cohort study was conducted to identify the cases of HA-AKI among the hospitalized patients at the University Hospital of the West Indies (UHWI), Jamaica, from July 2016 to June 2017. Ethical approval for the study was granted by the Ethics Committee of the Faculty of Medical Sciences, The University of the West Indies, Mona, Jamaica. Inclusion criteria were: patients with rising SCr more than 1.5 times from the baseline in the past seven days, absolute SCr rise of  $> 26.3$   $\mu\text{mol/L}$  in the past 48 hours, and urine output of  $< 0.5$  ml/kg/hour for over six hours. All patient-data were coded and kept anonymous.

### Data collection

The parameters were collected by trained recruiters (which included research nurses and graduate students) from each patient-record after the diagnosis of HA-AKI by certified nephrologists. These parameters were the patient's age, gender, baseline SCr, the peak SCr, SCr at  $\geq 90$  days, duration of AKI, requirement for dialysis, and 90-day mortality. The recruiters also collected independent variables which involved background medical illnesses, ICU admission, history of recent major surgery, hypotension, massive blood loss, use of nephrotoxic agents and radiocontrast agents, sepsis, and oliguria. To reduce the number of variables, we excluded some uncommon exposures (such as burns, animal and plant poison, and peripheral arterial disease) and some susceptible factors (such as chronic liver and lung disease). Clinical data were reviewed at subsequent follow-up visits and at  $\geq 90$  days for the occurrence of CKD and/or end stage renal disease (ESRD).

### Statistical analysis

Patients' demographic characteristics and clinical outcomes as continuous numerical variables are expressed as counts and percentages or mean with standard deviations (SD). The Pearson Chi-square ( $X^2$ ) test was used to compare the proportion of different outcomes among different stages of HA-AKI. Both Pearson Chi-square and Phi tests were applied to compare the correlation, level of association and odds ratio (OR) among the exposures, susceptibilities and severity staging of HA-AKI and variable outcomes. Normally distributed data were expressed as mean  $\pm$  SD. A  $p$ -value of  $< 0.05$  was considered statistically significant. The data of correlation

between sample populations were applied by means of 95% confidence interval (CI). All statistical analysis was done by using IBM SPSS version 22.

## RESULTS

A total of 107 patients met the criteria for HA-AKI. The mean age  $\pm$  SD of the patients with HA-AKI was  $65.92 \pm 19.6$  years. In the full cohort, 34.6% had stage 1 AKI, 20.6% had stage 2 AKI and 44.9% had stage 3 AKI. In these patients with HA-AKI, 43 (40.19%) were males, and 64 (59.81%) were females. The mean SCr at presentation was  $131 \pm 31.4$   $\mu\text{mol/L}$ ,  $216 \pm 30.7$   $\mu\text{mol/L}$  and  $593 \pm 133$   $\mu\text{mol/L}$  for stages 1, 2 and 3, respectively. The proportion of AKI patients with underlying susceptible factors is collectively shown in Table 2. Among these Afro-Caribbean HA-AKI patients with known susceptibilities, hypertension (51.4%) and diabetes (34.6%) were found as two leading vulnerable factors. Underlying CKD was found in 27.4% of this study group with respect to other susceptibilities, anaemia was detected in 30.2%, and co-existing cancer was observed in 26.4%. History of recent cardiac surgery, non-cardiac major surgery and cardiac failure was noted in 15.1%, 6.6% and 18.9% of patients with HA-AKI, respectively.

### Overall renal outcomes

Of the 107 patients, 90-day mortality was observed in 31 (29%) patients in whom 61.2% had stage 3 AKI, 29% had stage 2 AKI and 9.6% had stage 1 AKI. The rate of incomplete renal recovery at  $\geq 90$  days was 28% in which 53.3% had stage 3 AKI, 16% had stage 2 AKI and 30% had stage 1 AKI. Overall, 20 patients (18.7%) required RRT. Of the dialysed group, 85% were diagnosed as having stage 3 AKI and 15% as having stage 2 AKI. Among the surviving patients, ESRD was declared at  $\geq 90$  days for three patients (2.8%) (Table 2).

### Gender and the risk of renal outcomes

Among male and female patients, females had a higher rate of CKD progression (30.2% *versus* 25.6%) and  $\geq 90$ -day mortality (31.3% *versus* 25.6%). The requirement for dialysis was higher among the male patients with HA-AKI (20.9% *versus* 17.2%). Patients with HA-AKI in this study were solely of Afro-Caribbean descent.

### Aetiological assessment of acute kidney injury

In this study, 52.34% of HA-AKI was secondary to toxic/ischaemic ATN (Fig. 1). We also observed that a significant number of patients had combined aetiologies

Table 2: Baseline characteristics, susceptibilities, severity and outcomes of the patients with hospital-acquired acute kidney injury (n (%))

	Stage 1 acute kidney injury (AKI)	Stage 2 AKI	Stage 3 AKI	Total
<u>Characteristics</u>				
n (%)	37 (34.6)	22 (20.6)	48 (44.9)	107 (100)
Age (years $\pm$ standard deviation)	65.7 $\pm$ 20.47	69.0 $\pm$ 16.55	64.67 $\pm$ 20.5	65.92 $\pm$ 19.6 (17 100)
<u>Gender</u>				
Male	20 (46.5)	8 (18.6)	15 (34.9)	43 (40.19)
Female	17 (26.6)	14 (21.9)	33 (51.6)	64 (59.81)
<u>Susceptibilities</u>				
Diabetes	14 (13.1)	9 (8.4)	14 (13.1)	37 (34.5)
Hypertension	22 (20.6)	13 (12.1)	20 (18.7)	55 (51.4)
Chronic kidney disease	15 (14.0)	6 (5.6)	8 (7.5)	29 (27.4)
Cardiac failure	8 (7.5)	6 (5.6)	6 (5.6)	20 (18.9)
Anaemia	12 (11.2)	5 (4.7)	15 (14.0)	32 (30.2)
Cancer	9 (8.4)	5 (4.7)	14 (13.1)	28 (26.4)
Non-cardiac major surgery	1 (0.9)	1 (0.9)	5 (4.7)	7 (6.6)
Cardiac surgery	3 (2.8)	2 (1.9)	11 (10.3)	16 (15.1)
<u>Renal outcomes</u>				
Incomplete recovery (chronic kidney disease)	9 (24.3)	5 (22.7)	16 (33.3)	30 (28)
Proceed to end stage renal disease	0 (0)	0 (0)	3 (2.8)	3 (2.8)
Requirement for dialysis	0 (0)	3 (2.8)	17 (15.9)	20 (18.7)
90-day mortality	3 (2.8)	9 (8.4)	19 (17.8)	31 (29)

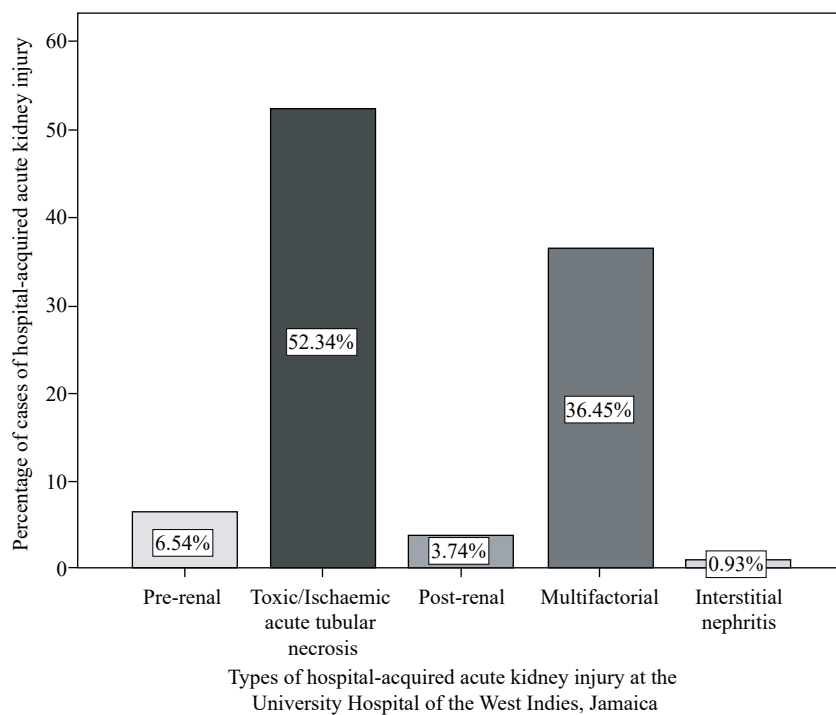


Fig. 1: Causes of hospital-acquired acute kidney injury in the studied Afro-Caribbean population.

of pre-renal, intrinsic renal and post-renal conditions (36.45%). Pure pre-renal type of AKI was found in 6.54% of the studied population, and post-renal AKI represented only 3.74% of HA-AKI. Histologically proven acute interstitial nephritis was noted in only one patient (0.93%).

### **Frequencies of individual susceptibilities**

Various susceptibilities are shown in Table 3. Underlying diabetes, hypertension, CKD, cardiac failure, anaemia and cancer were found in 34.7% ( $n = 37$ ), 50.9% ( $n = 54$ ), 27.4% ( $n = 29$ ), 18.9% ( $n = 20$ ), 30.2% ( $n = 32$ ) and 26.4% ( $n = 28$ ) of the study group, respectively. Hence, hypertension followed by diabetes was the most prevalent underlying vulnerable factor in this Afro-Caribbean HA-AKI study group.

### **The development of chronic kidney disease based on susceptibilities**

Underlying hypertension was observed in 55 patients (51.4%) among those with HA-AKI. The incidence rate of CKD among these patients with hypertension was 13.0% ( $n = 14$ ), but it was not statistically significant (OR: 0.78; 95% CI: 0.33, 1.83;  $p = 0.58$ ). Similarly, 13 out of 37 patients (12.1%) with underlying diabetes, 6 out of 20 (5.7%) with cardiac failure and 8 out of 32 (7.5%) with anaemia proceeded to CKD after HA-AKI but statistically, none of these showed a statistically significant correlation (OR: 1.76; 95% CI: 0.73, 4.21;  $p = 0.14$ ; OR: 1.1; 95% CI: 0.38, 3.21;  $p = 0.85$ ; and OR: 0.78; 95% CI: 0.3, 2.02;  $p = 0.42$ , respectively). Of 28 patients with underlying malignancy, only three (2.8%) did not get back their baseline renal function at  $\geq 90$  days after HA-AKI, and this was found to be negatively associated with the incidence of CKD (OR: 0.22; 95% CI: 0.06, 0.81;  $p = 0.01$ ) as that particular group had a very high 90-day mortality rate (Fig. 2).

### **Requirement for renal replacement therapy based on susceptibilities**

Figure 2 also describes the requirement for RRT in HA-AKI patients with associated vulnerable factors. None of the selected susceptibilities was likely to cause the requirement for RRT. Patients with diabetes, hypertension, CKD, cardiac failure, anaemia and malignancy shared almost equal hazard ratios to that of the normal population for the requirement for RRT. No statistical significance was found among any of the selected susceptibilities and RRT requirement ( $p$ -value of all  $\geq 0.05$ ).

### **Ninety-day mortality outcomes based on different susceptibilities**

Table 3 shows the 90-day mortality outcome of patients with HA-AKI based on selected underlying susceptibilities. A subgroup analysis of each vulnerable factor for 90-day mortality rate was performed. This analysis revealed a rather similar result as requirement for RRT, indicating that individual selected susceptibilities did not have a statistically significantly higher risk of 90-day mortality outcome, except in the subgroup with underlying malignancy in which 12 out of 28 died (42.9%) at  $\leq 90$  days after AKI, and it was associated with two-fold higher rate of 90-day mortality (OR: 2.36; 95% CI: 1.05, 5.87;  $p = 0.05$ ).

### **Frequencies of exposures and the development of chronic kidney disease after exposures**

During the study period, 70 out of 107 patients with HA-AKI (66%) had sepsis which was the leading risk factor among the exposures. Of these patients who were labelled as having sepsis, 25.7% were found to have incomplete renal recovery at  $\geq 90$  days, and they were recognized as having CKD after AKI (OR: 1.296; 95% CI: 0.70, 2.38). Oliguria/anuria was documented in 36 (34%) of patients with HA-AKI, among which eight (22%) progressed to CKD (OR: 1.41; 95% CI: 0.71, 2.85). Sixteen patients (15.1%) developed AKI after cardiac surgery, and seven (6.6%) had AKI after non-cardiac major surgery. Progression to CKD was reported in four patients (25%) among the cardiac surgery group (OR: 1.15; 95% CI: 0.46, 2.85) and two (28.6%) in the non-cardiac major surgery group (OR: 1.01; 95% CI: 0.61, 1.63). Documented hypotension was observed in 26 (24.6%) of the patients with HA-AKI, and two (19.2%) remained with CKD (OR: 1.65; 95% CI: 0.68, 3.99). History of haemorrhagic shock was documented in 12 (11.3%), and only two (10.5%) of them developed CKD (OR: 1.78; 95% CI: 0.48, 6.57). Admission to ICU was reported in 19 (17.9%) of the patients with HA-AKI, and this was associated with a three-fold rise in the development of CKD (OR: 3.05; 95% CI: 0.79, 11.76;  $p = 0.058$ ) (Table 4).

### **Requirement for dialysis after individual exposures among patients with hospital-acquired acute kidney injury**

Table 4 also includes the outcomes of RRT requirement in patients with HA-AKI based on individual exposure. Fifteen out of 36 HA-AKI patients (41.7%) with oliguria/anuria received dialysis, and the risk for the

Table 3: Susceptibilities in association with severity and renal outcomes

Susceptibilities	n (%)	Severity		Progression to chronic kidney disease			Requirement for renal replacement therapy			90-day mortality					
		Odds ratio (OR)	p-value	n (%)	OR	95% confidence interval (95% CI)	p-value	n (%)	OR	95% CI	p-value	n (% in same susceptibility)	OR	95% CI	p-value
Diabetes	37 (34.5)	1.18	0.38	13 (12.1)	1.76	0.73, 4.21	0.14	7 (6.5)	1.02	0.36, 2.83	0.57	11 (29.7)	1.05	0.44, 2.53	0.9
Hypertension	55 (51.4)	3.3	0.09	14 (13.0)	0.78	0.33, 1.83	0.58	12 (11.2)	1.53	0.57, 4.12	0.27	18 (32.7)	1.45	0.62, 3.39	0.38
Chronic kidney disease	29 (27.4)	6.09	0.04	NA	NA	NA	NA	7 (6.5)	1.49	0.53, 4.21	0.3	10 (33.3)	1.33	0.53, 3.31	0.53
Cardiac failure	20 (18.9)	3.71	0.15	6 (28.3)	1.1	0.38, 3.21	0.85	3 (2.8)	0.67	0.17, 2.56	0.41	4 (19.0)	0.51	0.15, 1.67	0.26
Anaemia	32 (30.2)	0.17	0.91	8 (25.0)	0.78	0.3, 2.02	0.42	4 (3.7)	0.5	0.15, 1.63	0.18	10 (30.3)	1.09	0.44, 2.69	0.84
Malignancy	28 (26.4)	0.42	0.6	3 (10.7)	0.22	0.06, 0.81	0.01	6 (5.6)	1.26	0.43, 3.69	0.42	12 (42.9)	2.36	1.05, 5.87	0.05

Table 4: Selected exposures of acute kidney injury among Afro-Caribbean patients in association with the progression to chronic kidney disease, requirement for renal replacement therapy and 90-day mortality

Exposures	n (%)	Progression to chronic kidney disease			Requirement for renal replacement therapy			90-day mortality					
		n (% of same exposure)	Odds ratio (OR)	95% confidence interval (95% CI)	p-value	n (% of same exposure)	OR	95% CI	p-value	n (% of same exposure)	OR	95% CI	p-value
Sepsis	70 (66)	18 (25.7)	1.29	0.7, 2.38	0.41	15 (21.4)	1.74	0.58, 5.25	0.32	25 (35.7)	2.87	1.05, 7.87	0.03
Non-cardiac major surgery	7 (6.6)	2 (28.6)	1.01	0.61, 1.63	0.64	4 (57.1)	5.01	1.04, 24.1	0.02	3 (42.9)	1.92	0.4, 9.17	0.32
Cardiac surgery	16 (15.1)	4 (25)	1.15	0.46, 2.86	0.75	9 (56.3)	6.02	1.95, 18.5	<0.001	5 (31.1)	1.13	0.36, 3.59	0.52
Hypotension*	26 (24.5)	5 (19.2)	1.65	0.68, 3.99	0.24	11 (42.3)	5.86	2.07, 16.6	<0.001	15 (57.7)	5.54	2.14, 14.3	<0.001
Haemorrhage**	12 (11.3)	2 (16.7)	1.78	0.48, 6.57	0.34	6 (50)	5.78	1.63, 20.5	0.003	7 (58.3)	4.14	1.2, 14.2	0.01
Admission to intensive care unit	19 (17.9)	2 (10.5)	3.05	0.79, 11.7	0.05	8 (42.1)	4.6	1.54, 13.7	0.004	12 (63.2)	6.22	2.15, 17.9	<0.001
Oliguria/anuria	36 (34)	8 (22.2)	1.41	0.71, 2.85	0.32	15 (41.7)	9.42	3.06, 29.0	<0.001	18 (50)	4.46	1.83, 10.8	<0.001

\* Documented prolonged hypotension: blood pressure of &lt;90/60 mmHg for &gt; one hour

\*\* Massive haemorrhage with estimated blood loss of more than two litres

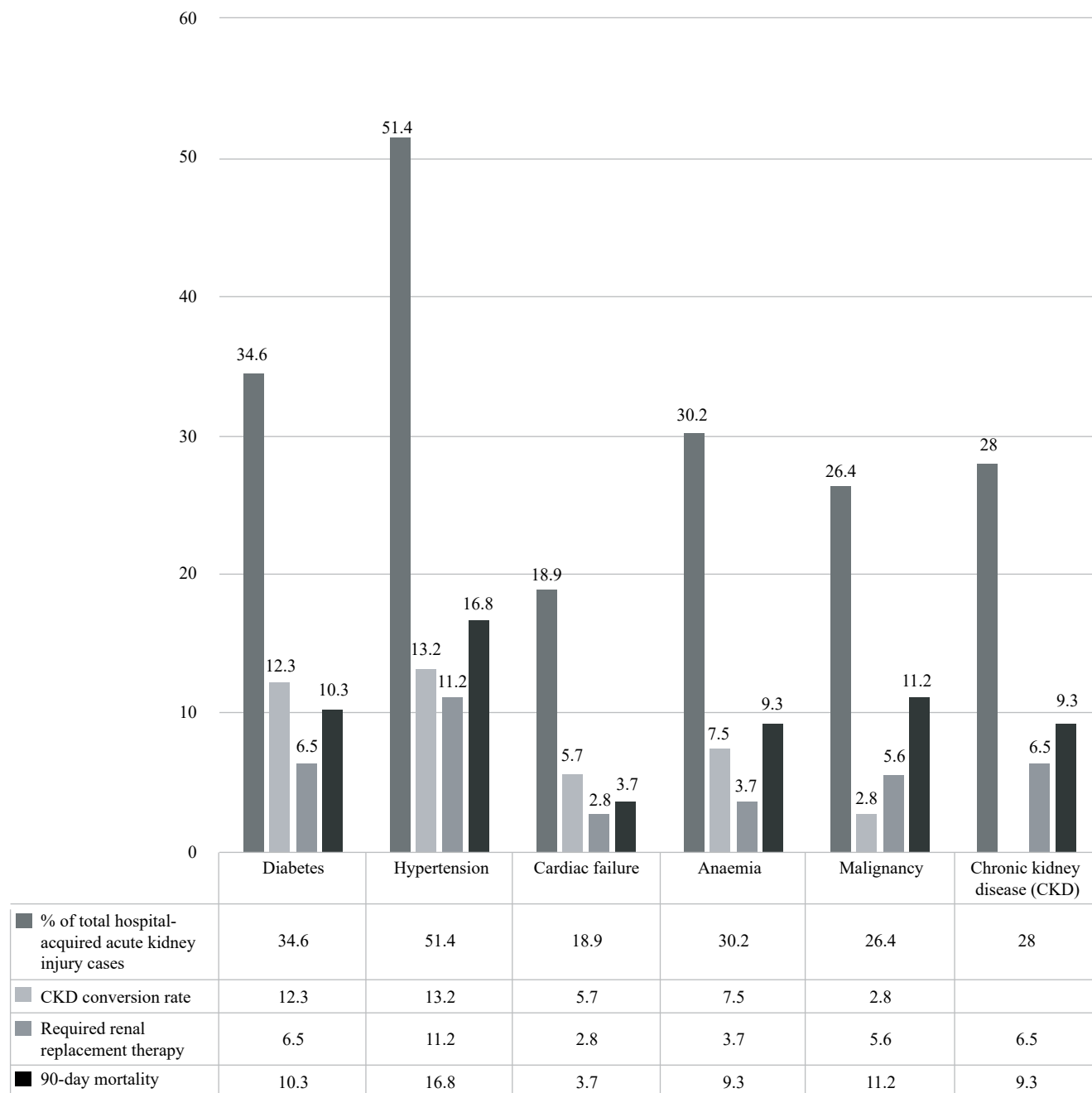


Fig. 2: Susceptibilities and renal outcomes in patients with hospital-acquired acute kidney injury (n = 107). Susceptibilities such as diabetes, hypertension, cardiac failure, anaemia and malignancy were not significantly associated with chronic kidney disease conversion and the requirement for renal replacement therapy.

requirement for dialysis was as high as nine-fold (95% CI: 3.06, 29.04;  $p \leq 0.001$ ). Although non-cardiac major surgery was much less prevalent in the study group, four out of seven of these patients with HA-AKI (57.1%) required dialysis after complicated major surgeries (OR: 5.01; 95% CI: 1.04, 24.1;  $p = 0.02$ ), and it was recognized as the leading exposure for the requirement for dialysis in this study. However, among the patients who developed AKI after major cardiac surgery, 9 out

of 16 (56.3%) required dialysis. Cardiac surgery had a six-fold increase in risk for dialysis requirement, and this was also statistically significant (OR: 6.02; 95% CI: 1.95, 18.57;  $p = 0.001$ ). Six out of 12 patients with documented haemorrhagic shock needed dialysis after the diagnosis of AKI (OR: 5.78; 95% CI: 1.63, 20.51;  $p = 0.003$ ). Fifteen patients who had sepsis and AKI (21.4%) needed dialysis, and sepsis alone did not statistically significantly contribute to the requirement for dialysis (OR:



1.71; 95% CI: 0.58, 5.25;  $p = 0.32$ ). Among the patients with documented hypotension and AKI, 11 (42.3%) received dialysis (OR: 5.86; 95% CI: 2.07, 16.62;  $p = 0.001$ ). Of 19 HA-AKI patients with ICU admission, eight (42.1%) required dialysis (OR: 4.6; 95% CI: 1.54, 13.77;  $p = 0.004$ ).

**Exposures and 90-day mortality in patients with hospital-acquired acute kidney injury**

There was high mortality among patients diagnosed with HA-AKI during the one-year study period. Patients with stage 3 AKI had the highest mortality rate (17.8%), followed by stage 2 AKI (8.4%) and stage 1 AKI (2.8%). The risk of mortality was highest up to five-fold and six-fold, respectively, in HA-AKI patients with systemic hypotension (OR: 5.54; 95% CI: 0.14, 14.33;  $p < 0.001$ ) and ICU admission (OR: 6.22; 95% CI: 2.15, 17.99;  $p \leq 0.001$ ). Overall mortality among HA-AKI patients with systemic hypotension was 57.7% and among HA-AKI patients with ICU admission was 63.2%. Twenty-five (35.7%) out of 70 HA-AKI patients with sepsis died at  $\leq 90$  days after the diagnosis of AKI. Sepsis increased the risk of mortality in the hospitalized patients up to three-fold (OR: 2.87; 95% CI: 1.05, 7.87;  $p = 0.03$ ). Patients who had haemorrhagic shock had an overall mortality

rate of 58.3%. The risk of mortality was also significantly high, up to four-fold in this group (OR: 4.14; 95% CI: 1.2, 14.27;  $p = 0.007$ ). Documented oliguria among HA-AKI patients also increased the risk of mortality significantly, and the mortality rate in these patients with prolonged oliguria was 50% (OR: 4.46; 95% CI: 1.83, 10.84;  $p = 0.001$ ).

Of the 16 post-cardiac surgery patients, five (31.1%) died at  $\leq 90$  days after AKI, and among seven post-non-cardiac major surgery patients, three demised (42.9%). Although more cardiac surgery patients had higher frequency of AKI, both groups did not significantly increase the risk of 90-day mortality (OR: 1.13; 95% CI: 0.36, 3.59;  $p = 0.52$  and OR: 1.92; 95% CI: 0.4, 9.17;  $p = 0.4$ , respectively) (Table 4, Fig. 3).

**DISCUSSION**

In this institution-based cohort study of patients who developed AKI after hospitalization, the overall 90-day mortality was 29%, in which 61.2% had stage 3 AKI at the time of diagnosis. Hospital-acquired AKI has been a well-recognized leading cause of hospital death, and its mortality rate was as high as 68.1% in one of the studies conducted in Wales (22). Some previous studies have shown that the majority of the critically ill patients

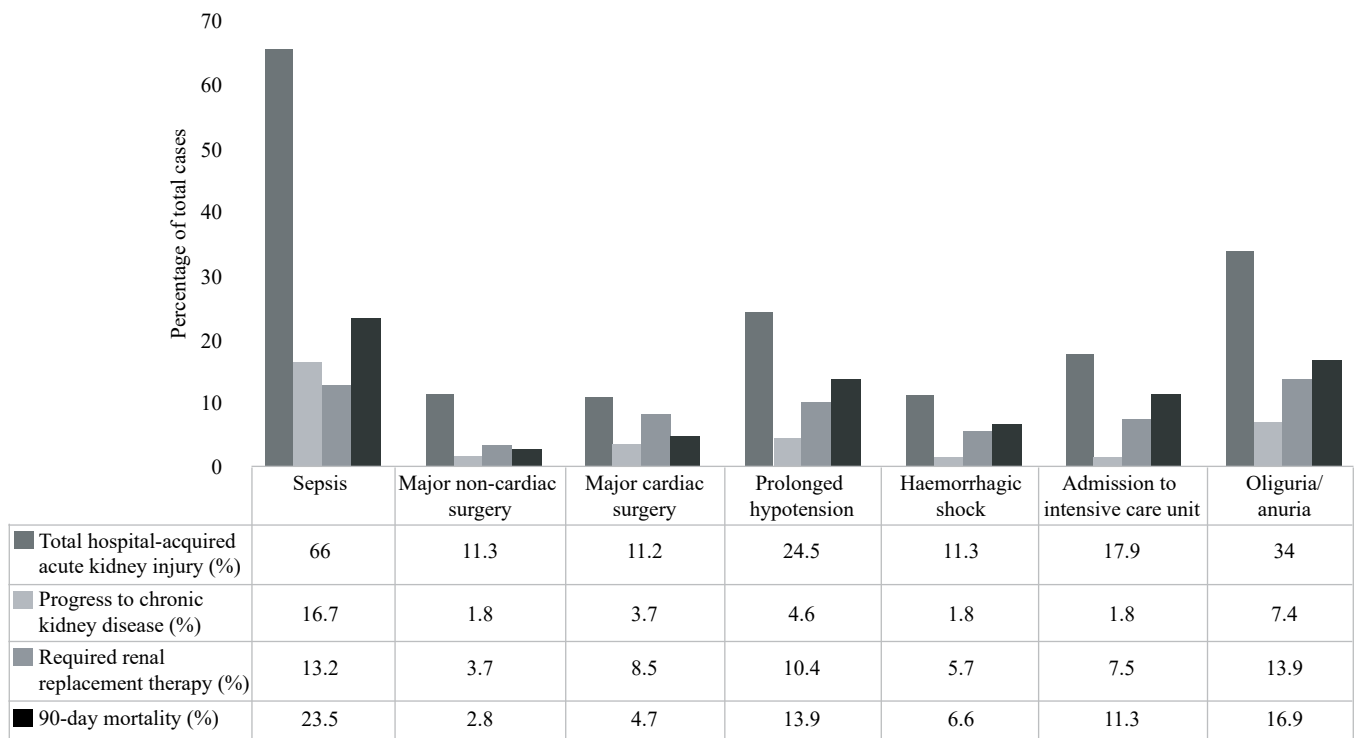


Fig. 3: Exposures and outcomes of hospital-acquired acute kidney injury at the University Hospital of the West Indies, Jamaica. Certain exposures such as sepsis, prolonged hypotension, haemorrhagic shock, admission to intensive care unit, oliguria/anuria had significant correlation with adverse renal outcomes of the requirement for renal replacement therapy and 90-day mortality.

with HA-AKI usually die (23). A higher increase in SCr (3 mg/dL) was a marker of higher mortality (24). Obialo *et al* found that the pre-renal cause of AKI was more common in the HA-AKI group when intrinsic renal injury was more common in the HA-AKI group and the latter carries higher mortality (25). In our study, although ATN remained as the most common cause of HA-AKI (53.27%), the incidence of combined aetiology was significantly high (36.45%), and it highlighted that a significant number of patients had complicated mixed pattern AKI beyond the straight-forward ATN.

We were able to demonstrate that the risk of mortality was significantly high in individual patients with any of the known exposures, namely, sepsis, oliguria/anuria, systemic hypotension, haemorrhagic shock, complicated surgeries (both cardiac and non-cardiac) and ICU admission. Patients with ICU admission led with 63.2% of mortality which again confirmed that critical illness in combination with AKI was an independent risk factor for poor prognosis. Other exposures were also significantly associated with high mortality among patients with HA-AKI. These findings highlighted that exposures are the predictors of mortality and prevention of individual exposures may lead to significant reduction of AKI-associated mortality in the hospitalized patients. The higher rate of mortality among patients with HA-AKI might have been compounded by age factor in our study population as the average age of the patients in this study was 65.92 years. Surprisingly, no significant association was found between selected susceptibilities (such as diabetes, hypertension, CKD, cardiac failure, anaemia, female gender) and 90-day mortality. The significantly high mortality was observed in HA-AKI patients with underlying malignancy, but the contribution of AKI to mortality in this setting was not so clear.

Previous data demonstrated a 28-fold increase in future development of CKD stage 4 or 5 and a two-fold increase in future mortality if these patients required dialysis (26). We noted that CKD conversion rate among this group of Afro-Caribbean patients was 28% and the majority of them had stage 3 AKI at the time of diagnosis. Sub-analysis of the link between individual susceptibilities and CKD conversion showed no statistical significance. Similarly, the association between individual exposures and CKD conversion was statistically insignificant in our analysis. Therefore, the conversion to CKD after AKI cannot be predicted solely by individual exposures or susceptibilities. Larger studies may need to clarify this.

Hsu *et al* concluded that CKD was an independent risk factor for AKI in their findings which demonstrated that the hospitalized patients with CKD stage 3 or above had a higher incidence of AKI (27). Based on our study which was of an Afro-Caribbean population, 18.9% of patients with HA-AKI were found to have underlying CKD. Stewart *et al* expressed their frustration in a study which showed that the delayed recognition of the development of AKI was found to be as high as 43% after admission and one-fifth of these developments were believed to be avoidable (28). Data from the developed countries showed that sepsis was the leading cause of AKI. Fifty-one per cent of patients with septic shock were found to have AKI, and their prognosis was worse than those without AKI (29–31).

In an early study, iatrogenic factors accounted for 55% of HA-AKI (29). There is a large number of known nephrotoxins which have different and complex mechanisms to trigger different kinds of renal injuries (32, 33). We conducted data collection on exposure to known nephrotoxic agents as well, and a detailed analysis is under progress. In terms of RRT requirement, there was no association between the need for dialysis and any of the selected underlying susceptibilities including CKD. It indicated that the presence of background CKD may not have any influence on the decision to initiate RRT when these patients with background CKD developed HA-AKI.

However, the predictor of the RRT requirement was significantly linked with selected exposures. We were able to demonstrate significantly higher requirement for RRT in patients with oliguria/anuria, complicated surgeries (both cardiac and non-cardiac), haemorrhagic shock, systemic hypotension and ICU admission. Once the exposures (not susceptibilities in general) were found to be the predictors of adverse renal outcomes, focussing on the prevention of such exposures in hospitalized patients (whether they have any susceptibilities or not) would be a key factor to minimize adverse renal outcomes after AKI.

## LIMITATIONS

This study has some limitations. Although we included all patients with HA-AKI admitted to the UHWI during the specific time frame, it does not reflect the entire population of the region, particularly those institutions without a dialysis facility and ICU service. It is highly possible that negative renal outcomes and mortality may be much higher in these areas where there is no dialysis facility and intensive care. All our patients were

Afro-Caribbean, and this has been well recognized as one of the susceptibilities for AKI. The geographical variation in the susceptibilities may cause different adverse renal outcomes after HA-AKI. Insignificant influence of individual susceptibilities on the severity and adverse renal outcomes in this study might be related to the limited sample size. It may become statistically significant when the size of HA-AKI population becomes larger. We are continuing to collect data for newer patients with HA-AKI to strengthen our findings.

In addition, the study did not address the combined effect of two or more exposures or susceptibilities on the outcomes in any one patient. Some of the patients with initial stages 1 and 2 AKI continued to have rapid decline of renal function over a week with complete anuria but were graded according to their initial findings, even though a few of them became stage 3 AKI. Finally, the decision to initiate RRT was the personal decision of the nephrologists involved in this study. There are no universally accepted guidelines on when to start dialysis in patients with AKI. Therefore, the validity of the association between the requirement for RRT and certain exposures (such as oliguria) is doubtful.

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## AUTHOR CONTRIBUTIONS

KK Hoe conducted the study design, oversaw data collection, carried out data analysis, wrote the manuscript and edited its final version. EN Barton participated in data interpretation, critically revised the manuscript and approved its final version. TL Han and TH Hoe participated in the study design and graphical interpretation of data, revised the manuscript and approved its final version. The authors declare no conflicts of interest.

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